

#21 (Reg Refund)  
HELLO-08600  
01-20-04

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: Peter O. Schmidt et al.

Serial No.: 09/801,398

Filed: March 07, 2001

For: **AN ELECTRONIC DEVICE  
UTILIZING A CLIP AND  
METHOD FOR PROVIDING  
THE SAME**

Group Art Unit: 3677

Examiner: Brittain, James R

**REQUEST FOR REFUND (IMPROPER  
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Customer No. 28960

Attn: Refund Section, Accounting Division  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated November 2003, for the above-identified patent application.

An Information Disclosure Statement was filed on September 29, 2003 (see copies of the information disclosure statement as filed and the stamped return received postcard). Deposit Account 08-1275 was debited \$180.00 for fee code 1806 on November 05, 2003. However, the information disclosure statement included a certification under 37 CFR § 1.97(e) and was filed within the three month time period. Accordingly, no submission of an information disclosure statement filing fee was required.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request is enclosed for this purpose.

Respectfully submitted  
HAVERSTOCK & OWENS LLP

Dated: 1-6-04

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Registration No.: 32,571  
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

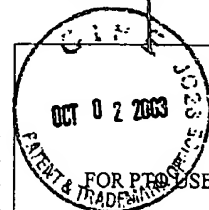
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 1-6-04 By: Nicole P. [Signature]

Serial No.: 09/801,398 H&O File No.: Hello-08600 By: TBH/JR  
In the Matter of The Application of: Peter O. Schmidt et al  
Date Mailed: 9-29-03 Due Date: 11-25-03  
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

<input type="checkbox"/> Design Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)	<input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate
<input type="checkbox"/> Utility Patent Application _____ Pages	<input type="checkbox"/> Form PTOL-65B (Issue Fee Trans.) & dup.	<input checked="" type="checkbox"/> Certificate of Mailing
<input type="checkbox"/> Prov. Patent Application _____ Pages	<input type="checkbox"/> Form PTO-1050 (Cert. of Correction)	<input type="checkbox"/> Certificate of Express Mailing
<input type="checkbox"/> Declaration/Oath	<input type="checkbox"/> Maintenance Fee Transmittal Form	Label No.: _____
<input type="checkbox"/> Power of Attorney _____	<input type="checkbox"/> Reexamination Request	<input type="checkbox"/> Check(s): \$ _____
<input type="checkbox"/> Assignment	<input type="checkbox"/> Notice of Appeal	<input checked="" type="checkbox"/> Deposit Account Authorization Form
<input type="checkbox"/> Form PTO-1194/1595	<input type="checkbox"/> Affidavit/Declaration	
<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Copy of Filing Receipt	
<input type="checkbox"/> Drawings: _____ Sheets(s)	<input type="checkbox"/> Req. for Corr. of: _____	
<input type="checkbox"/> Formal <input type="checkbox"/> Informal	<input type="checkbox"/> Request for Non-Publication	
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Rescission of Request for Non-Publication	
<input checked="" type="checkbox"/> Form PTO-1449 and Copies of <u>4</u>	<input type="checkbox"/> _____	
References Contained Thereon	<input type="checkbox"/> _____	
<input checked="" type="checkbox"/> Information Disclosure Certification	<input type="checkbox"/> _____	
<input type="checkbox"/> Amendment/Response	<input type="checkbox"/> _____	
<input type="checkbox"/> Extension of Time Request: _____ Months(s)	<input type="checkbox"/> _____	
<input type="checkbox"/> Petition	<input type="checkbox"/> _____	



COPY

Serial No.: 09/801,398 H&O File No.: Helg-08600 By: TBH/JR  
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- |  |  |  |
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| <input type="checkbox"/> Design Patent Application _____ Pages           | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts)     | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages          | <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup.   | <input checked="" type="checkbox"/> Certificate of Mailing   |
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| <input type="checkbox"/> Declaration/Oath                                | <input type="checkbox"/> Maintenance Fee Transmittal Form          | Label No.: _____   |
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| <input type="checkbox"/> Assignment                                      | <input type="checkbox"/> Notice of Appeal                          | <input checked="" type="checkbox"/> Deposit Account Authorization Form                               |
| <input type="checkbox"/> Form PTO-1594/1595                              | <input type="checkbox"/> Affidavit/Declaration                     |  |
| <input type="checkbox"/> Small Entity Statement                          | <input type="checkbox"/> Copy of Filing Receipt                    |  |
| <input type="checkbox"/> Drawings: _____ Sheets(s)                       | <input type="checkbox"/> Req. for Corr of: _____                   |  |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal        | <input type="checkbox"/> Request for Non-Publication               |  |
| <input checked="" type="checkbox"/> Information Disclosure Statement     | <input type="checkbox"/> Rescission of Request for Non-Publication |  |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of 4        | <input type="checkbox"/> _____                                     |  |
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| <input checked="" type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____                                     |  |
| <input type="checkbox"/> Amendment/Response                              | <input type="checkbox"/> _____                                     |  |
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| <input type="checkbox"/> Petition  | <input type="checkbox"/> _____                                     |  |

FOR PTO USE

**COPY**

PATENT  
Attorney Docket No.: HELLO-08600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	) Group Art Unit: 3677
Peter O. Schmidt et al.	) Examiner: Brittain, James R.
Serial No.: 09/801,398	) TRANSMITTAL LETTER
Filed: March 7, 2001	)
For: AN ELECTRONIC DEVICE	) 162 N. Wolfe Road
UTILIZING A CLIP AND	) Sunnyvale, CA 94086
METHOD FOR PROVIDING THE	) (408) 530-9700
SAME	) Customer No.: 28960

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is authorized to charge any additional fee or credit any overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-29-03

By: Thomas B. Haverstock

Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

**COPY**

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, P.O. Box 1450  
Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 9-29-03 By: [Signature]

PATENT  
Attorney Docket No.: HELLO-08600

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<b>METHOD FOR PROVIDING THE</b>	) (408) 530-9700
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HAVERSTOCK & OWENS LLP.  
Date: 9-29-03 By: [Signature]

PATENT

Attorney Docket No.: HELLO-08600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: 3677
Peter O. Schmidt et al.	)	Examiner: Brittain, James R.
Serial No.: 09/801,398	)	<u>SUPPLEMENTAL INFORMATION</u>
Filed: March 7, 2001	)	<u>DISCLOSURE STATEMENT</u>
For: <b>AN ELECTRONIC DEVICE</b>	)	162 N. Wolfe Road
<b>UTILIZING A CLIP AND METHOD</b>	)	Sunnyvale, CA 94086
<b>FOR PROVIDING THE SAME</b>	)	(408) 530-9700

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 4,045,108;
- U.S. Patent No. 5,465,421;
- U.S. Patent No. 6,071,142; and
- U.S. Patent No. 6,095,846.

PATENT  
Attorney Docket No.: HELLO-08600

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-29-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.  
Date: 9-29-03 By: [Signature]

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In re Application of:	)	Group Art Unit: 3677
Peter O. Schmidt et al.	)	Examiner: Brittain, James R
Serial No.: 09/801,398	)	<b><u>CERTIFICATION FOR</u></b>
Filed: March 7, 2001	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>AN ELECTRONIC DEVICE</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>UTILIZING A CLIP AND METHOD</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>FOR PROVIDING THE SAME</b>	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700
<hr/>		
Commissioner for Patents		
P.O. Box 1450		
Alexandria, VA 22313-1450		

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached four (4) references contained in this information disclosure statement were cited within an International Preliminary Examination Report (IPER) mailed on August 25, 2003. Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).



PATENT  
Attorney Docket No.: HELLO-08600

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 9-29-03

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

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HAVERSTOCK & OWENS LLP.  
Date: 9-29-03 By: [Signature]

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**Deposit Account Statement**

**Requested Statement Month:** November 2003  
**Deposit Account Number:** 081275  
**Name:** HAVERSTOCK & OWENS LLP  
**Attention:** DANIELLE DALTON  
**Address:** 260 SHERIDAN AVENUE SUITE 420  
**City:** PALO ALTO  
**State:** CA  
**Zip:** 94306

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
11/05	1	09801398	HELLO-08600	1806	\$180.00	\$4,919.00
11/14	32	09841800		1806	\$180.00	\$4,739.00
11/17	482	76410687	ANDREWS-02100	7004	\$150.00	\$4,589.00
11/19	27	09898882	SLM-07000	1453	\$30.00	\$4,559.00
11/24	20	09952626		9204	-\$1,300.00	\$5,859.00
11/24	34	09512839	LUCENT-00201	1806	-\$180.00	\$6,039.00
11/24	127	76474529	HAPPY00200	6001	\$10.00	\$6,029.00
11/26	1	10327348	NUASI-00105	1806	\$180.00	\$5,849.00

START	SUM OF	SUM OF	END
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\$5,099.00	\$730.00	\$1,480.00	\$5,849.00

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